ATTORNEY'S DOCKET NO: 010324 DATE: March 14, 2001 U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE U.S. APP 0. 90 /if 7087119 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO.: INTERNATIONAL FILING DATE: PRIORITY DATE CLAIMED: PCT/JP99/05001 **SEPTEMBER 14, 1999 SEPTEMBER 14, 1998** TITLE OF INVENTION: TRANSFERABLE MAGNETIC TAPE, METHOD OF MANUFACTURING SAME, AND MAGNETIC CARD APPLICANT(S) FOR DO/EO/US: Daisuke YANO, Yoshikazu YAMAZAKI and Tessyu MIYAHARA Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. XX This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. XX This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1). 4. XX A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date **XX** A copy of the International Application as filed (35 U.S.C. 371(c)(2)): is transmitted herewith (required only if not transmitted by the International Bureau). b. **XX** has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) *6. XX A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7 XX Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). a. ___ b. ___ have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. с. _ d. XX have not been made and will not be made. £ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. XX An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. XX A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED: 11. XX An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the international search report and 2 references. 12. XX An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEE NAME AND ADDRESS: DAINIPPON INK AND CHEMICALS, INC., Tokyo, Japan 13. XX A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment 14. ___ A substitute specification.

15. ____ A change of power of attorney and/or address letter.

16. XX Other items or information: Submission of Substitute Pages; 4 sheets of drawings.

1 4 MAR 2001 ATTORNEY'S DOCKET NO: 010324 U.S. APPLICATION NO. INTERNATIONAL APPLICATION NO. DATE: march 14, 2001 (if known) 1787119 PCT/JP99/05001 17. X The following fees are submitted: CALCULATIONS PTO USE ONLY Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO: \$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482)\$690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1000.00 International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions ENTER APPROPRIATE BASIC FEE AMOUNT = 860.00 furcharge of \$130.00 for furnishing the oath or declaration later than $_$ 20 30 months from the earliest claimed priority date (37 DVR 1.492(e)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE **FOTAL** 29 - 20 =9 X \$ 18.00 \$ 162.00 INDEPENDENT 3 - 3 = X \$ 80.00 Multiple dependent claims(s) (if applicable) + \$270.00 \$ 270.00 TOTAL OF ABOVE CALCULATIONS = \$ 1,292.00 Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28). \$ 1,292.00 Processing fee of **\$130.00** for furnishing the English translation later than ___ 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$1,292.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 \$40.00 per property + **TOTAL FEES ENCLOSED =** \$ 1.332.00

Amount to be:

refunded

charged

\$

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INTERNATIONAL APPLICATION NO.

DATE: March 14, 2001

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PCT/JP99/05001

a.	<u>xx</u>	A check in the amount of \$1,332.00 to cover the above fees is enclosed. (\$860.00 for filing fee; \$162.00 for 9 addition
		claims; \$270.00 for multiple dependent claims and \$40.00 for assignment recordation fee). (This paper is filed in triplicate

- Please charge my Deposit Account No. 01-2340 in the amount of \$___ to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to c. <u>X</u> Deposit Account No. 01-2340.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:



23850

PATENT TRADEMARK OFFICE

Donald W. Hanson NAME

27,133

REGISTRATION NUMBER

D₩H/yap

Fax: (202) 887-0357

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Daisuke YANO et al.

Serial Number: Not Yet Assigned

(§ 371 of International Application No.: PCT/JP99/05001)

Filed: March 14, 2001

For: TRANSFERABLE MAGNETIC TAPE, METHOD OF MANUFACTURING SAME,

AND MAGNETIC CARD

SUBMISSION OF SUBSTITUTE PAGES FOR PCT ART. 19 AND 34 AMENDMENTS

Director of Patents and Trademarks Washington, D.C. 20231

March 14, 2001

Sir:

This application is the U.S. national stage under 35 USC § 371 of the above-identified international patent application. Please enter the attached substitute sheets for pages 49-52. The substitute sheets incorporate into the English language translation of the international application amendments presented in the international stage under PCT Article 19 and /or PCT Article 34.

In the event there are fees required in connection with this submission, please charge such fees to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON, LLP

Atty. Docket No. 010324 Suite 1000

1725 K Street, N.W. Washington, D.C. 20006

Tel: (202) 659-2930

DWH/yap

Enclosures: Substitute sheets 49-52.

Donald W. Hanson

Reg. No. 27,133